

IN THE
United States Patent and Trademark Office

Applicant(s): Tso et al.

Docket No.: 17209-515

Serial No.: 10/615,250

Art Unit: 3694

Filed: July 8, 2003

Examiner: Fertig, Brian E.

For: Method and System for Providing Principal Protection Exposure to
Equity Markets

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDMENT AND RESPONSE

Further to the Non-Final Office Action dated February 26, 2009 (hereinafter "Office Action"), in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 7 of this paper.